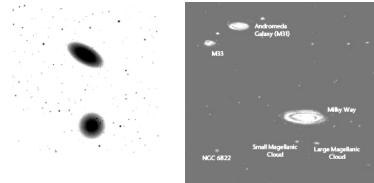


Gravitational Dynamics: An Introduction HongSheng Zhao

C1.1.1 Our Galaxy and Neighbours

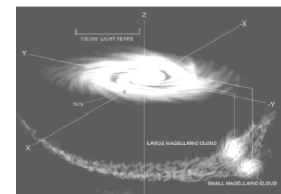
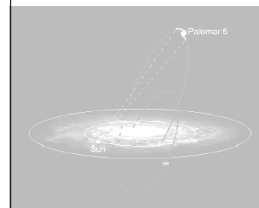


- How structure in universe form/evolve?
- Galaxy Dynamics Link together early universe & future.

Our Neighbours

- M31 (now at 500 kpc) separated from MW a Hubble time ago
- Large Magellanic Cloud has circled our Galaxy for about 5 times at 50 kpc
 - **argue** both neighbours move with a typical 100-200km/s velocity relative to us.

Outer Satellites on weak-g orbits around Milky Way



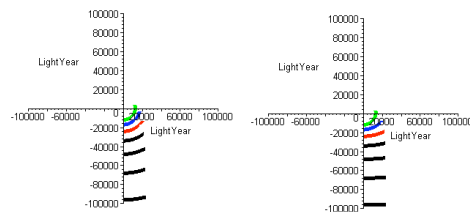
R > 10kpc: Magellanic/Sgr/Canis streams
R > 50kpc: Draco/Ursa/Sextans/Fornax...

~ 50 globulars on weak-g (R < 150 kpc)
~ 100 globulars on strong-g (R < 10 kpc)

C1.1.2 Milky Way as Gravity Lab

- Sun has circled the galaxy for 30 times
 - velocity vector changes direction +/- 200km/s twice each circle (R = 8 kpc)
 - **Argue** that the MW is a nano-earth-gravity Lab
 - **Argue** that the gravity due to 10^{10} stars only within 8 kpc is barely enough. Might need to add Dark Matter.

Sun escapes unless our Galaxy has Dark Matter



C1.1.3 Dynamics as a tool

- Infer additional/dark matter
 - E.g., Weakly Interacting Massive Particles
 - proton mass, but much less interactive
 - Suggested by Super-Symmetry, but undetected
 - A \$billion\$ industry to find them.
 - What if they don't exist?

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...

- Test the law of gravity:
 - valid in nano-gravity regime?
 - Uncertain outside solar system:
 - GM/r^2 or cst/r ?

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Outer solar system

- The Pioneer experiences an anomalous non-Keplerian acceleration of $10^{-8} \text{ cm s}^{-2}$
 - What is the expected acceleration at 10 AU?
 - What could cause the anomaly?

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Gravitational Dynamics can be applied to:

- Two body systems: binary stars
- Planetary Systems, Solar system
- Stellar Clusters: open & globular
- Galactic Structure: nuclei/bulge/disk/halo
- Clusters of Galaxies
- The universe: large scale structure

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Topics

- Phase Space Fluid $f(x,y)$
 - Eqⁿ of motion
 - Poisson's equation
- Stellar Orbits
 - Integrals of motion (E,J)
 - Jeans Theorem
- Spherical Equilibrium
 - Virial Theorem
 - Jeans Equation
- Interacting Systems
 - Tides → Satellites → Streams
 - Relaxation → collisions
- MOND

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C2.1 How to model motions of 10^{10} stars in a galaxy?

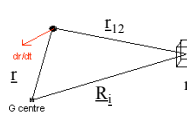
- Direct N-body approach (as in simulations)
 - At time t particles have $(m_i, x_i, y_i, z_i, vx_i, vy_i, vz_i)$, $i=1,2,\dots,N$ (feasible for $N \ll 10^6$).
- Statistical or fluid approach (N very large)
 - At time t particles have a spatial density distribution $n(x,y,z)*m$, e.g., uniform,
 - at each point have a velocity distribution $G(vx,vy,vz)$, e.g., a 3D Gaussian.

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C2.2 N-body Potential and Force

- In N-body system with mass $m_1 \dots m_N$, the gravitational acceleration $\vec{g}(\mathbf{r})$ and potential $\phi(\mathbf{r})$ at position \mathbf{r} is given by:



$$\vec{F} = m\vec{g}(\mathbf{r}) = -\sum_{i=1}^N \frac{G \cdot m \cdot m_i \cdot \hat{r}_{i2}}{|\vec{r} - \vec{R}_i|^2} = -m\nabla\phi$$

$$\Phi = m\phi(\mathbf{r}) = -\sum_{i=1}^N \frac{G \cdot m \cdot m_i}{|\vec{r} - \vec{R}_i|}$$

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Example: Force field of two-body system in Cartesian coordinates

$$\phi(\vec{r}) = -\sum_{i=1}^2 \frac{G \cdot m_i}{|\vec{r} - \vec{R}_i|}, \text{ where } \vec{R}_i = (0, 0, -i) * a, m_i = m_i$$

Sketch the configuration, sketch equal potential contours $\phi(x, y, z) = ?$

$$\vec{g}(\vec{r}) = (g_x, g_y, g_z) = -\nabla\phi(\vec{r}) = \left(-\frac{\partial\phi}{\partial x}, -\frac{\partial\phi}{\partial y}, -\frac{\partial\phi}{\partial z}\right)$$

$$\|\vec{g}(\vec{r})\| = \sqrt{(g_x^2 + g_y^2 + g_z^2)} = ?$$

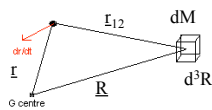
sketch field lines. at what positions is force = 0?

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C2.3 A fluid element: Potential & Gravity

- For large N or a continuous fluid, the gravity $d\vec{g}$ and potential $d\phi$ due to a small mass element dM is calculated by replacing m_i with dM :



$$d\vec{g} = -\frac{G \cdot dM \cdot \hat{r}_{12}}{|\vec{r} - \vec{R}_i|^2}$$

$$d\phi = -\frac{G \cdot dM}{|\vec{r} - \vec{R}|}$$

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Lec 2 (Friday, 10 Feb): Why Potential $\phi(\mathbf{r})$?

- Potential per unit mass $\phi(\mathbf{r})$ is scalar,
 - function of \mathbf{r} only,
 - Related to but easier to work with than force (vector, 3 components)
 - Simply relates to orbital energy $E = \phi(\mathbf{r}) + \frac{1}{2} v^2$

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C2.4 Poisson's Equation

- PE relates the potential to the density of matter generating the potential by:

$$\nabla \cdot \nabla \phi = -\vec{\nabla} \cdot \vec{g} = 4\pi G\rho(\mathbf{r})$$

- [BT2.1]

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C2.5 Eq. of Motion in N-body

- Newton's law: a point mass m at position \mathbf{r} moving with a velocity $d\mathbf{r}/dt$ with Potential energy $\Phi(\mathbf{r}) = m\phi(\mathbf{r})$ experiences a Force $\mathbf{F} = m\vec{g}$, accelerates with following Eq. of Motion:

$$\frac{d}{dt} \left[\frac{d\vec{r}(t)}{dt} \right] = \frac{\vec{F}}{m} = -\frac{\vec{\nabla}_{\vec{r}} \Phi(\mathbf{r})}{m}$$

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Example 1: trajectories when G=0

- Solve Poisson's Eq. with G=0 →
 - F=0, → $\Phi(\underline{r})=cst$, →
- Solve EoM for particle i initially at $(\mathbf{X}_{i,0}, \mathbf{V}_{0,i})$
 - $d\mathbf{V}_i/dt = \mathbf{F}_i/m_i = 0$ → $\mathbf{V}_i = cst = \mathbf{V}_{0,i}$
 - $d\mathbf{X}_i/dt = \mathbf{V}_i = \mathbf{V}_{0,i}$ → $\mathbf{X}_i(t) = \mathbf{V}_{0,i} t + \mathbf{X}_{i,0}$
 - where \mathbf{X}, \mathbf{V} are vectors,
 - → straight line trajectories
- E.g., photons in universe go straight
 - occasionally deflected by electrons,
 - Or bent by gravitational lenses

What have we learned?

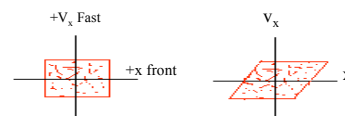
- Implications on gravity law and DM.
- Poisson's eq. and how to calculate gravity
- Equation of motion

How N-body system evolves

- Start with initial positions and velocities of all N particles.
- Calculate the mutual gravity on each particle
 - Update velocity of each particle for a small time step dt with EoM
 - Update position of each particle for a small time step dt
- Repeat previous for next time step.
- → N-body system fully described

C2.6 Phase Space of Galactic Skiers

- N_{skiers} identical particles moving in a small bundle in phase space ($\text{Vol} = \Delta_x \Delta_v$),
- phase space deforms but maintains its area.



- Gap widens between faster & slower skiers
 - but the phase volume & No. of skiers are constants.

“Liouville's Theorem on the piste”

- Phase space density of a group of skiers is const.

$$f = m N_{\text{skiers}} / \Delta x \Delta v_x = \text{const}$$
 Where m is mass of each skier,

[BT4.1]

C2.7 density of phase space fluid: Analogy with air molecules

- air with uniform density $n=10^{23} \text{ cm}^{-3}$
- Gaussian velocity rms velocity $\sigma = 0.3 \text{ km/s}$ in x,y,z directions:

$$f(x, v) = \frac{m \times n_0 \exp\left(-\frac{v_x^2 + v_y^2 + v_z^2}{2\sigma^2}\right)}{(\sqrt{2\pi}\sigma)^3}$$

- Estimate $f(0,0,0,0,0)$ in $\text{pc}^{-3} (\text{km/s})^{-3}$

Lec 3 (Valentine Tuesday)
 C2.8 Phase Space Distribution Function (DF)

PHASE SPACE DENSITY: No. of sun-like stars per unit volume per velocity volume $f(\mathbf{x}, \mathbf{v})$

$$f(\mathbf{x}, \mathbf{v}) = \frac{dN \times m_{\text{sun}}}{dx^3 dv^3} = \frac{\text{number of suns} \times m_{\text{sun}}}{\text{space volume} \times \text{velocity volume}}$$

$$\square \frac{1 \times m_{\text{sun}}}{\text{pc}^3 \times (100\text{kms}^{-1})^3}$$

C2.9 add up stars: integrate over phase space

- star mass density: integrate velocity volume

$$\rho(\vec{x}) = m_{\text{sun}} \times n(\vec{x}) = \int_{-\infty}^{+\infty} \int_{-\infty}^{+\infty} \int_{-\infty}^{+\infty} f(\vec{x}, \vec{v}) d\vec{v}_x d\vec{v}_y d\vec{v}_z$$

- The total mass : integrate over phase space

$$M_{\text{total}} = \int \rho(\mathbf{x}) d^3x = \int f(\vec{x}, \vec{v}) d^3\vec{v} d^3\vec{x}$$

- define spatial density of stars $n(\vec{x})$

$$n = \int f d^3v$$

- and the mean stellar velocity $\bar{v}(\vec{x})$

$$\bar{nv}_i = \text{flux in } i\text{-direction} = \int f v_i d^3v$$

- E.g., Conservation of flux (without proof)

$$\frac{\partial n}{\partial t} + \frac{\partial (n\bar{v}_1)}{\partial x_1} + \frac{\partial (n\bar{v}_2)}{\partial x_2} + \frac{\partial (n\bar{v}_3)}{\partial x_3} = 0$$

C3.0 Star clusters differ from air:

- Stars collide far less frequently
 - size of stars \ll distance between them
 - Velocity distribution not isotropic
- Inhomogeneous density $\rho(\mathbf{r})$ in a Grav. Potential $\phi(\mathbf{r})$

Example 2: A 4-body problem

- Four point masses with $Gm = 1$ at rest $(x, y, z) = (0, 1, 0), (0, -1, 0), (-1, 0, 0), (1, 0, 0)$. Show the initial total energy
 Einit = $4 * (\frac{1}{2} + 2^{-1/2} + 2^{-1/2}) / 2 = 3.8$
- Integrate EoM by brutal force for one time step = 1 to find the positions/velocities at time $t=1$.
 - Use $\mathbf{V} = \mathbf{V}_0 + \mathbf{g}t = \mathbf{g} = (u, u, 0)$; $u = 2^{1/2}/4 + 2^{1/2}/4 + \frac{1}{4} = 0.95$
 - Use $\mathbf{x} = \mathbf{x}_0 + \mathbf{V}_0 t = \mathbf{x}_0 = (0, 1, 0)$.
- How much does the new total energy differ from initial?
 E - Einit = $\frac{1}{2}(u^2 + u^2) * 4 = 2u^2 = 1.8$

Often-made Mistakes

- Specific energy or specific force confused with the usual energy or force
- Double-counting potential energy between any pair of mass elements, kinetic energy with v^2
- Velocity vector \mathbf{V} confused with speed,
- $1/|r|$ confused with $1/|x| + 1/|y| + 1/|z|$

What have we learned?

Potential to Gravity $g = -\nabla\phi$

Potential to density $\rho = \frac{1}{4\pi G} \nabla^2\phi$

Density to potential $\phi(\vec{r}) = -\int \frac{G\rho d^3\vec{r}'}{|\vec{r} - \vec{r}'|}$

Motion to gravity $g = dv/dt$

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Concepts

- Phase space density
 - incompressible
 - Dimension Mass/[Length³ Velocity³]
 - **Show** a pair of non-relativistic Fermionic particle occupy minimal phase space $(x^*v)^3 > (h/m)^3$, hence has a maximum phase density $=2m (h/m)^{-3}$

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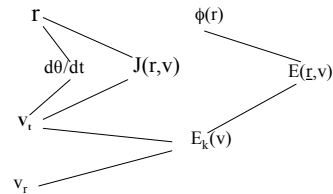
Where are we heading to? Lec 4, Friday 17 Feb

- potential and eqs. of motion
 - in general geometry
 - Axisymmetric
 - spherical

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Link phase space quantities



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C 3.1: Laplacian in various coordinates

Cartesians:

$$\nabla^2 = \frac{\partial^2}{\partial x^2} + \frac{\partial^2}{\partial y^2} + \frac{\partial^2}{\partial z^2}$$

Cylindrical:

$$\nabla^2 = \frac{1}{R} \frac{\partial}{\partial R} \left(R \frac{\partial}{\partial R} \right) + \frac{1}{R^2} \frac{\partial^2}{\partial \phi^2} + \frac{\partial^2}{\partial z^2}$$

Spherical:

$$\nabla^2 = \frac{1}{r^2} \frac{\partial}{\partial r} \left(r^2 \frac{\partial}{\partial r} \right) + \frac{1}{r^2 \sin \theta} \frac{\partial}{\partial \theta} \left(\sin \theta \frac{\partial}{\partial \theta} \right) + \frac{1}{r^2 \sin^2 \theta} \frac{\partial^2}{\partial \phi^2}$$

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Example 3: Energy is conserved in STATIC potential

- The orbital energy of a star is given by:

$$E = \frac{1}{2} v^2 + \phi(\vec{r}, t)$$

$$\frac{dE}{dt} = \bar{v} \frac{d\bar{v}}{dt} + \frac{d\vec{r}}{dt} \nabla \phi + \frac{\partial \phi}{\partial t} = 0 + \frac{\partial \phi}{\partial t}$$

0 since $\frac{d\bar{v}}{dt} = -\nabla\phi$
and $\frac{d\vec{r}}{dt} = \bar{v}$

0 for static potential.

So orbital Energy is Conserved $dE/dt=0$ only in "time-independent" potential.

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Example 4: Static Axisymmetric density \rightarrow
Static Axisymmetric potential

- We employ a cylindrical coordinate system (R, ϕ, z) e.g., centred on the galaxy and align the z axis with the galaxy axis of symmetry.
- Here the potential is of the form $\phi(R, z)$.
- Density and Potential are Static and Axisymmetric
– independent of time and azimuthal angle

$$\phi(R, z) \Rightarrow \rho(R, z) = \frac{1}{4\pi G} \left[R \frac{\partial}{\partial R} \left(R \frac{\partial \phi}{\partial R} \right) + \frac{\partial^2 \phi}{\partial z^2} \right]$$

$$g_r = -\frac{\partial \phi}{\partial R} \quad g_z = -\frac{\partial \phi}{\partial z}$$

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C3.2: Orbits in an axisymmetric potential

- Let the potential which we assume to be symmetric about the plane $z=0$, be $\phi(R, z)$.
- The general equation of motion of the star is

$$\frac{d^2 \vec{r}}{dt^2} = -\nabla \phi(R, z) \quad \text{Eq. of Motion}$$

- Eqs. of motion in cylindrical coordinates

$$\ddot{z} = -\frac{\partial \phi}{\partial z}, \quad \ddot{R} - R\dot{\theta}^2 = -\frac{\partial \phi}{\partial R}, \quad 2\dot{R}\dot{\theta} + R\ddot{\theta} = \frac{d}{Rdt}(R^2\dot{\theta}) = -\frac{\partial \phi}{R\partial \theta} = 0$$

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Conservation of angular momentum
 z -component J_z if axisymmetric

$$J_z = R^2 \dot{\theta} \Rightarrow \frac{d}{dt} J_z = \frac{d}{dt} (R^2 \dot{\theta}) = 0$$

- The component of angular momentum about the z -axis is conserved.
- If $\phi(R, z)$ has no dependence on θ then the azimuthal angular momentum is conserved
– or because z -component of the torque $\mathbf{r} \times \mathbf{E} = 0$. (Show it)

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C4.1: Spherical Static System

- Density, potential function of radius $|r|$ only
- Conservation of
 - energy E ,
 - angular momentum J (all 3-components)
 - Argue that a star moves orbit which confined to a plane perpendicular to J vector.

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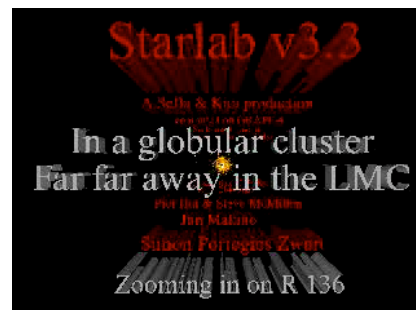
C 4.1.0: Spherical Cow Theorem

- Most astronomical objects can be approximated as spherical.
- Anyway non-spherical systems are too difficult to model, almost all models are spherical.

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Globular: A nearly spherical static system



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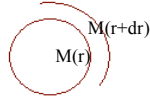
C4.2: From Spherical Density to Mass

$$M(R + dr) = M(R) + dM$$

$$dM = \rho(r) d\left(\frac{4}{3}\pi r^3\right) = 4\pi r^2 \rho(r) dr$$

$$\rho(r) = \frac{dM}{d\left(\frac{4}{3}\pi r^3\right)} = \frac{dM}{4\pi r^2 dr}$$

$$M(R) = \int \rho d\left(\frac{4}{3}\pi r^3\right)$$



C4.3: Theorems on Spherical Systems

- **NEWTONS 1st THEOREM:** A body that is inside a spherical shell of matter experiences no net gravitational force from that shell
- **NEWTONS 2nd THEOREM:** The gravitational force on a body that lies outside a closed spherical shell of matter is the same as it would be if all the matter were concentrated at its centre. [BT 2.1]

C4.4: Poisson's eq. in Spherical systems

- Poisson's eq. in a spherical potential with no θ or ϕ dependences is:

$$\nabla^2 \varphi = \frac{1}{r^2} \frac{\partial}{\partial r} \left(r^2 \frac{\partial \varphi}{\partial r} \right) = 4\pi G \rho(r)$$

- BT2.1.2

Example 5: Interpretation of Poissons Equation

- Consider a spherical distribution of mass of density $\rho(r)$.

$$g = -\frac{GM(r)}{r^2}$$

$$\phi = \int_r^\infty g(r) dr \quad \text{since } \phi = 0 \text{ at } \infty \text{ and is } < 0 \text{ at } r$$

$$= -\int_r^\infty \frac{GM(r)}{r^2} dr$$

$$\text{Mass Enclosed} = \int_r^\infty 4\pi r^2 \rho(r) dr$$



- Take d/dr and multiply $r^2 \rightarrow$

$$r^2 \frac{d\phi}{dr} = -gr^2 = GM(r) = \left(G \int 4\pi r^2 \rho(r) dr \right)$$

- Take d/dr and divide $r^2 \rightarrow$

$$\frac{1}{r^2} \frac{\partial}{\partial r} \left(r^2 \frac{\partial \phi}{\partial r} \right) = \frac{1}{r^2} \frac{\partial}{\partial r} (-r^2 g) = \frac{1}{r^2} \frac{\partial}{\partial r} (GM) = 4\pi G \rho(r)$$

$$\rightarrow \nabla^2 \phi = -\bar{\nabla} \cdot g = 4\pi G \rho$$

C4.5: Escape Velocity

- **ESCAPE VELOCITY** = velocity required in order for an object to escape from a gravitational potential well and arrive at ∞ with zero KE. $=0$ often

$$\phi(r) = \phi(\infty) - \frac{1}{2} v_{esc}^2$$

$$\rightarrow v_{esc}(r) = \sqrt{2\phi(\infty) - 2\phi(r)}$$

Example 6: Plummer Model for star cluster

- A spherically symmetric potential of the form:

$$\phi = -\frac{GM}{\sqrt{r^2 + a^2}}$$
 e.g., for a globular cluster $a=1\text{pc}$, $M=10^5$ Sun Mass show $V_{\text{esc}}(0)=30\text{km/s}$

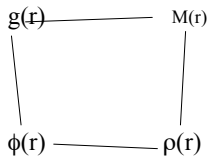
- Show corresponding to a density (use Poisson's eq):

$$\rho = \frac{3M}{4\pi a^3} \left(1 + \frac{r^2}{a^2}\right)^{-\frac{5}{2}}$$

What have we learned?

- Conditions for conservation of orbital energy, angular momentum of a test particle
- Meaning of escape velocity
- How Poisson's equation simplifies in cylindrical and spherical symmetries

**Lec 5, (Tue 21 Feb)
Links of quantities in spheres**



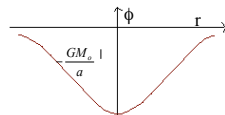
A worked-out example 7:
Hernquist Potential for stars in a galaxy

$\phi_*(r) = -\frac{GM_0}{a+r}$, use Poisson eq. show

$$\rho_*(r) = \frac{M_0}{2\pi a^3} \left(\frac{r}{a}\right)^{-1} \left(1 + \frac{r}{a}\right)^{-2}$$

- E.g., $a=1000\text{pc}$, $M_0=10^{10}$ solar, show central escape velocity $V_{\text{esc}}(0)=300\text{km/s}$,
- Show M_0 has the meaning of total mass
 - Potential at large r is like that of a point mass M_0
 - Integrate the density from $r=0$ to infinity also gives M_0

Potential of globular clusters and galaxies looks like this:



$\phi(0) = -const$ (finite well at centre)
 $\phi(r) \propto r^{-1}$ (Kepler for large r)
 → Centre is the minimum of potential with escape velocity

$$v_{\text{esc}}(0) = \sqrt{\frac{2GM_e}{a}}$$

C4.6: Circular Velocity

- CIRCULAR VELOCITY**= the speed of a test particle in a circular orbit at radius r .

$$|g| = \frac{v_{\text{cir}}^2}{r} = \nabla\phi = \frac{GM(r)}{r^2}$$

$$\Rightarrow M(r) = \frac{v_{\text{cir}}^2 r}{G}$$

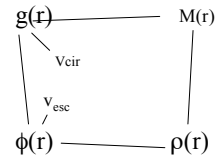
For a point mass M : Show in a uniform density sphere

$$v_c(r) = \sqrt{\frac{GM}{r}} \quad v_c(r) = \sqrt{\frac{4\pi G \rho}{3}} r \quad \text{since } M(r) = \frac{4}{3}\pi r^3 \rho$$

What have we learned?

- How to apply Poisson's eq.
- How to relate
 - Vesc with potential and
 - Vcir with gravity
- The meanings of
 - the potential at very large radius,
 - The enclosed mass

Lec 6, (Fri, 24 Feb) Links of quantities in spheres



C4.7: Motions in spherical potential [BT3.1]

Equation of motion

$$\frac{d\mathbf{x}}{dt} = \mathbf{v}$$

$$\frac{d\mathbf{v}}{dt} = \mathbf{g} = -\nabla\phi$$

If spherical

$$g_r = -\frac{\partial\phi}{\partial r}$$

$$g_\theta = -\frac{\partial\phi}{\partial\theta} = 0$$

If no gravity
 $\mathbf{x}(t) = \mathbf{v}_0 t + \mathbf{x}_0$
 $\mathbf{v}(t) = \mathbf{v}_0$

Conserved if spherical static

$$E = \frac{1}{2}v^2 + \phi(r)$$

$$L = J = \mathbf{x} \otimes \mathbf{v} = r v_\theta \hat{n}$$

Another Proof: Angular Momentum is Conserved if spherical

$$\bar{L} = \bar{r} \times \bar{v}$$

$$\rightarrow \frac{d\bar{L}}{dt} = \frac{d(\bar{r} \times \bar{v})}{dt} = \frac{d\bar{r}}{dt} \times \bar{v} + \bar{r} \times \frac{d\bar{v}}{dt} = 0 + \bar{r} \times \bar{g}$$

Since $\frac{\partial\phi}{\partial\theta} = 0$ then the spherical force \mathbf{g} is in the r direction, no torque

\rightarrow both cross products on the RHS = 0.

So **Angular Momentum \underline{L} is Conserved**

$$\frac{d\bar{L}}{dt} = 0$$

C4.8: Star moves in a plane (r, θ) perpendicular to \underline{L}

- Direction of angular momentum

$$\underline{r} \times \dot{\underline{r}} = \underline{L}$$

- equations of motion are

– radial acceleration: $\ddot{r} - r\dot{\theta}^2 = g(r)$

– tangential acceleration: $2\dot{r}\dot{\theta} + r\ddot{\theta} = 0$

$$r^2\dot{\theta} = \text{constant} = L$$

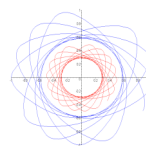
C4.8.1: Orbits in Spherical Potentials

- The motion of a star in a centrally directed field of force is greatly simplified by the familiar law of conservation (WHY?) of angular momentum.

$$\bar{L} = \bar{r} \times \frac{d\bar{r}}{dt} = \text{const}$$

$$= r^2 \frac{d\theta}{dt} = 2 \frac{\text{area swept}}{\text{unit time}}$$

Keplers 3rd law



C 4.9: Radial part of motion

- Energy Conservation (WHY?)

$$E = \phi(r) + \frac{1}{2} \left(\frac{d\bar{r}}{dt} \right)^2 + \frac{1}{2} \left(r \frac{d\theta}{dt} \right)^2$$

$$\Phi_{\text{eff}} = \phi(r) + \frac{L^2}{2r^2} + \frac{1}{2} \left(\frac{d\bar{r}}{dt} \right)^2$$

$$\frac{dr}{dt} = \pm \sqrt{2E - 2\Phi_{\text{eff}}(r)}$$

C5.0: Orbit in the $z=0$ plane of a disk potential $\phi(R,z)$.

- Energy/angular momentum of star (per unit mass)

$$E = \frac{1}{2} \left[\dot{R}^2 + (R\dot{\theta})^2 \right] + \Phi(R, 0)$$

$$= \frac{1}{2} \left[\dot{R}^2 \right] + \left[\frac{J_z^2}{2R^2} + \Phi(R, 0) \right]$$

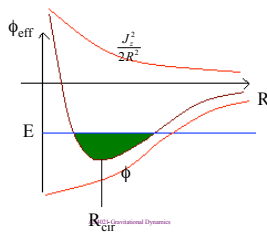
$$= \frac{1}{2} \left[\dot{R}^2 \right] + \Phi_{\text{eff}}(R, 0)$$

- orbit bound within

$$\Rightarrow E \geq \Phi_{\text{eff}}(R, 0)$$

C5.1: Radial Oscillation

- An orbit is bound between two radii: a loop
- Lower energy E means thinner loop (nearly circular closed) orbit

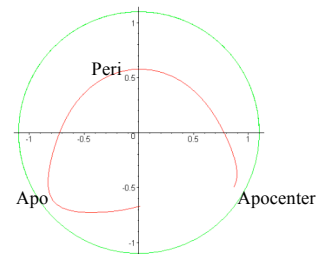
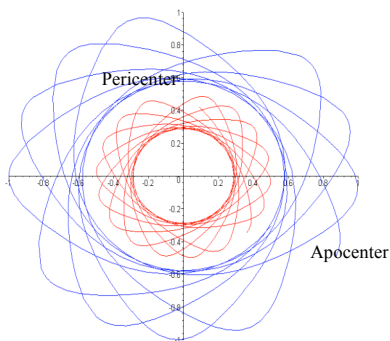


C5.2: Eq of Motion for planar orbits

- EoM:

$$\ddot{R} = -\frac{\partial \Phi_{\text{eff}}}{\partial R}; \quad z=0$$

$$\text{If circular orbit } R=\text{cst}, z=0 \Rightarrow \frac{\partial \Phi_{\text{eff}}}{\partial R} = 0 \text{ at } R = R_c$$



C5.3: Apocenter and pericenter

- No radial motion at these turn-around radii
 - $dr/dt = V_r = 0$ at apo and peri
- Hence
- $J_z = R V_t$
 $= R_a V_a = R_p V_p$
- $E = \frac{1}{2} (V_r^2 + V_t^2) + \Phi(R, 0) = \frac{1}{2} V_r^2 + \Phi_{\text{eff}}(R, 0)$
 $= \frac{1}{2} V_a^2 + \Phi(R_a, 0)$
 $= \frac{1}{2} V_p^2 + \Phi(R_p, 0)$

Lec7: Orbit in axisymmetric disk potential $\phi(R, z)$.

- Energy/angular momentum of star (per unit mass)

$$E = \frac{1}{2} \left[\dot{R}^2 + (R\dot{\theta})^2 + \dot{z}^2 \right] + \Phi$$

$$= \frac{1}{2} \left[\dot{R}^2 + \dot{z}^2 \right] + \frac{J_z^2}{2R^2} + \Phi$$

$$= \frac{1}{2} \left[\dot{R}^2 + \dot{z}^2 \right] + \Phi_{\text{eff}}(R, z)$$

- orbit bound within

$$\Rightarrow E \geq \Phi_{\text{eff}}(R, z)$$

C5.4 EoM for nearly circular orbits

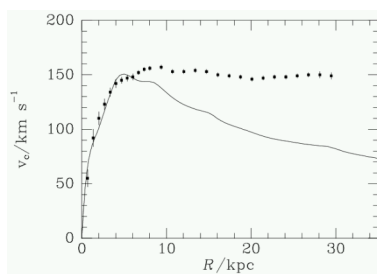
- EoM: $\ddot{R} = -\frac{\partial \Phi_{\text{eff}}}{\partial R}; \quad \ddot{z} = -\frac{\partial \Phi_{\text{eff}}}{\partial z}$
- Taylor expand $\frac{\partial \Phi_{\text{eff}}}{\partial R} = \frac{\partial \Phi_{\text{eff}}}{\partial z} = 0$ at $R = R_g, z = 0$
- Taylor expand $\Phi_{\text{eff}} = \frac{1}{2} \left(\frac{\partial^2 \Phi_{\text{eff}}}{\partial R^2} \right)_{(R_g, 0)} x^2 + \frac{1}{2} \left(\frac{\partial^2 \Phi_{\text{eff}}}{\partial z^2} \right)_{(R_g, 0)} z^2 + \dots$
 $= \kappa^2 x^2 / 2 + \nu^2 z^2 / 2 + \dots$
 $-x = R - R_g$

Sun's Vertical and radial epicycles

- harmonic oscillator +/- 10pc every 10⁸ yr
 κ - epicyclic frequency :
 ν - vertical frequency :

$$\ddot{R} = -\kappa^2 R, \quad \text{and} \quad \ddot{z} = -\nu^2 z$$

Lec 8, C7.0: Stars are not enough: add Dark Matter in galaxies [BT10.4]



NGC 3198 (Begeman 1987)

Bekenstein & Milgrom (1984) Bekenstein (2004), Zhao & Famaey (2006)

- Modify gravity g ,
 - Analogy to E-field in medium of varying Dielectric

$$-\nabla \cdot \left(\frac{\mathbf{g}}{4\pi G} \right) = \rho_*(r)$$

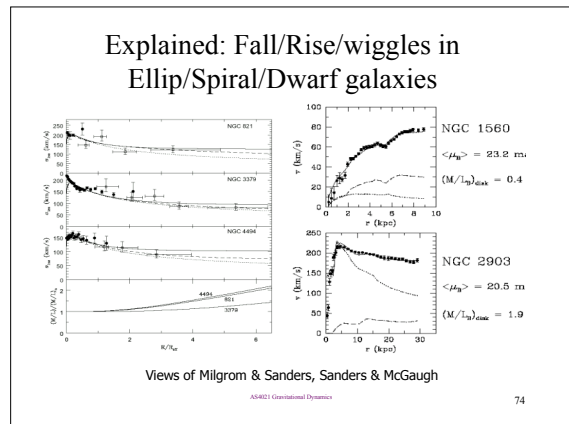
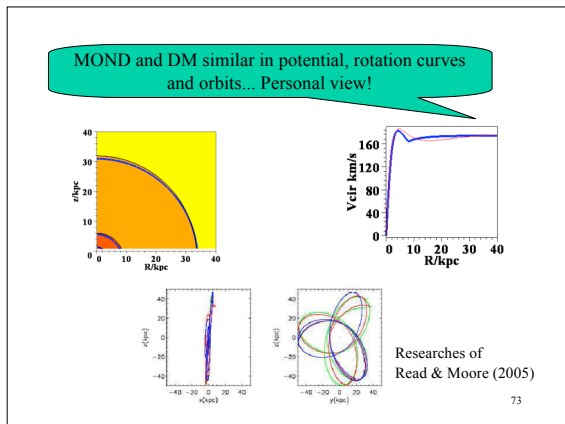
$$\bar{G}(g/a_0) = (1 + a_0/g) G$$

$$\sim G \quad \text{if } g = |\nabla\phi| > a_0$$

$$\sim G a_0/g > G \quad \text{if } g < a_0$$

Not Standard Belief!

- Gradient of Conservative potential



What have we learned?

- Orbits in a spherical potential or in the mid-plane of a disk potential
- How to relate Pericentre, Apocentre through energy and angular momentum conservation.
- Rotation curves of galaxies
 - Need for Dark Matter or a boosted gravity

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Tutorial: Singular Isothermal Sphere

- Has Potential Beyond r_0 : $\phi(r) = -\frac{GM_0}{r}$
- And Inside $r < r_0$ $\phi(r) = V_0^2 \ln \frac{r}{r_0} + \phi_0$
- Prove that the potential AND gravity is continuous at $r=r_0$ if $\phi_0 = -GM_0 / r_0 = -V_0^2$
- Prove density drops sharply to 0 beyond r_0 , and inside r_0

$$\rho(r) = \frac{V_0^2}{4\pi G r^2}$$
- Integrate density to prove total mass=M0
- What is circular and escape velocities at $r=r_0$?
- Draw diagrams of $M(r)$, $V_{\text{esc}}(r)$, $V_{\text{cir}}(r)$, $|\Phi(r)|$, $\rho(r)$, $|\mathbf{g}(r)|$ vs. r (assume $V_0=200\text{km/s}$, $r_0=100\text{kpc}$).

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Another Singular Isothermal Sphere

- Consider a potential $\Phi(r)=V_0^2 \ln(r)$.
- Use Jeans eq. to show the velocity dispersion σ (assume isotropic) is constant V_0^2/n for a spherical tracer population of density Λr^{-n} ; Show we required constants $\Lambda = V_0^2/(4\pi P^*G)$, and $n=2$ in order for the tracer to become a self-gravitating population. Justify why this model is called Singular Isothermal Sphere.
- Show stars with a phase space density $f(E)=\exp(-E/\sigma^2)$ inside this potential well will have no net motion $\langle V \rangle = 0$, and a constant rms velocity σ in all directions.
- Consider a black hole of mass m on a rosette orbit bound between pericenter r_0 and apocenter $2r_0$. Suppose the black hole decays its orbit due to dynamical friction to a circular orbit $r_c/2$ after time t_0 . How much orbital energy and angular momentum have been dissipated? By what percentage has the tidal radius of the BH reduced? How long would the orbital decay take for a smaller black hole of mass $m/2$ in a small galaxy of potential $\Phi(r)=0.25V_0^2 \ln(r)$? Argue it would take less time to decay from r_0 to $r_0/2$ then from $r_0/2$ to 0.

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Lec 9: C8.0: Incompressible $df/dt=0$

- N_{star} identical particles moving in a small bundle in phase space ($\text{Vol}=\Delta_x \Delta_p$),
- phase space deforms but maintains its area.
 - Likewise for y - p_y and z - p_z .

$$\frac{dVol}{d\lambda} = 0, \quad \frac{dN_{\text{star}}}{d\lambda} = 0, \quad \text{'LIOUVILLES THEOREM'}$$

Phase space density $f=N_{\text{stars}}/\Delta_x \Delta_p \sim \text{const}$

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C8.1: Stars flow in phase-space [BT4.1]

- Flow of points in phase space ~ stars moving along their orbits.
- phase space coords: $(\underline{x}, \underline{v}) \equiv \underline{w} \equiv (w_1, w_2, \dots, w_6)$
 $\dot{\underline{w}} = (\dot{\underline{x}}, \dot{\underline{v}}) = (\underline{v}, -\nabla\Phi)$

C8.2 Collisionless Boltzmann Equation

- Collisionless $df/dt=0$:

$$\frac{d}{dt} f(x, v, t) = \left(\frac{\partial}{\partial t} + \sum_{\alpha=1}^6 \dot{w}_{\alpha} \frac{\partial}{\partial w_{\alpha}} \right) f(w, t) = 0$$

$$\frac{\partial f}{\partial t} + \sum_{i=1}^3 \left[v_i \frac{\partial f}{\partial x_i} - \frac{\partial \Phi}{\partial x_i} \frac{\partial f}{\partial v_i} \right] = 0$$

- Vector form

$$\frac{\partial f}{\partial t} + \underline{v} \cdot \nabla f - \nabla \Phi \cdot \frac{\partial f}{\partial \underline{v}} = 0$$

C8.3 DF & its 0th, 1st, 2nd moments

$$\bar{A}(\underline{x}) = \frac{d^3 \underline{x} \iiint A f(x, v) d^3 \underline{v}}{d^3 \underline{x} \rho}$$

$$d^3 \underline{x} \rho = dM = d^3 \underline{x} \iiint f(x, v) d^3 \underline{v}$$

where $A(\underline{x}, \underline{v}) = 1, V_x, V_x V_y, \dots$

e.g., verify $\overline{V^2} = \overline{V_x^2} + \overline{V_y^2} + \overline{V_z^2}$

- E.g: rms speed of air particles in a box dx^3 :

$$f(x, v) = \frac{m \times n_0 \exp\left(-\frac{v_x^2 + v_y^2 + v_z^2}{2\sigma^2}\right)}{(\sqrt{2\pi}\sigma)^3}$$

verify $\overline{v_x} = 0, \overline{v_x v_x} - \overline{v_x} \overline{v_x} = \sigma^2, \overline{v_x v_y} - \overline{v_x} \overline{v_y} = 0$

$$\overline{v^2} = \frac{\int v^2 dN}{\int dN} = \frac{d^3 x \int v^2 f d^3 v}{d^3 x \int f d^3 v} = \frac{\int_0^{\infty} e^{-\frac{v^2}{2\sigma^2}} 4\pi v^4 dv}{\int_0^{\infty} e^{-\frac{v^2}{2\sigma^2}} 4\pi v^2 dv} = 3\sigma^2,$$

C8.4: CBE → Moment/Jeans Equations [BT4.2]

- Phase space incompressible $df(w, t)/dt=0$, where $w=[x, v]$: CBE

$$\frac{\partial f}{\partial t} + \sum_{\alpha=1}^6 \dot{w}_{\alpha} \frac{\partial f}{\partial w_{\alpha}} = \frac{\partial f}{\partial t} + \sum_{i=1}^3 \left[v_i \frac{\partial f}{\partial x_i} - \frac{\partial \Phi}{\partial x_i} \frac{\partial f}{\partial v_i} \right] = 0$$

- taking moments $U=1, v_i, v_i v_k$ by integrating over all possible velocities

$$\int \frac{\partial f}{\partial t} U d^3 \underline{v} + \int v_i \frac{\partial f}{\partial x_i} U d^3 \underline{v} - \frac{\partial \Phi}{\partial x_i} \int \frac{\partial f}{\partial v_i} U d^3 \underline{v} = 0$$

C8.5: 0th moment (continuity) eq.

- define spatial density of stars $n(\underline{x})$

$$n = \int f d^3 v$$

- and the mean stellar velocity $\underline{v}(\underline{x})$

$$\underline{v} = \frac{1}{n} \int \underline{v} f d^3 v$$

- then the zeroth moment equation becomes

$$\frac{\partial n}{\partial t} + \sum_{i=1,2,3} \frac{\partial (n \underline{v}_i)}{\partial x_i} = 0$$

C8.6: 2nd moment Equation

$$\rightarrow \frac{\partial \overline{v_j}}{\partial t} + \sum_{i=1,2,3} \overline{v_i} \frac{\partial (\overline{v_j})}{\partial x_i} = - \frac{\partial \Phi}{\partial x_j} - \sum_{i=1,2,3} \frac{\partial (n \sigma_{ij}^2)}{n \partial x_i}$$

$$\sigma_{ij}^2 = \overline{(v_i - \overline{v_i})(v_j - \overline{v_j})} = \overline{v_i v_j} - \overline{v_i} \overline{v_j}$$

$$\frac{\partial \underline{v}}{\partial t} + (\underline{v} \cdot \nabla) \underline{v} = -\nabla \Phi - \frac{1}{\rho} \nabla P$$

similar to the Euler equation for a fluid flow:

- last term of RHS represents pressure force

$$- \frac{\partial}{\partial x_i} (n \sigma_{ij}^2) \Leftrightarrow -\nabla P$$

Lec 10, C8.7: Meaning of pressure in star system

- What prevents a non-rotating star cluster collapse into a BH?
 - No systematic motion as in Milky Way disk.
 - But random orbital angular momentum of stars!

C8.8: Anisotropic Stress Tensor

- describes a pressure which is $P_{ij} = n \sigma_{ij}^2$
 - perhaps stronger in some directions than other
- the tensor is symmetric, can be diagonalized
 - velocity ellipsoid with semi-major axes given by

$$\sigma_{ij}^2 = \sigma_{ii}^2 \delta_{ij}$$

$$\sigma_{11}^2, \sigma_{22}^2, \sigma_{33}^2$$

C8.9: Prove Tensor Virial Theorem (BT4.3)

$$\Rightarrow \langle \overline{v \overline{v}} \rangle = \langle \overline{r \nabla \phi} \rangle$$

$$2K_{ij} = -W_{ij}$$

$$\langle v_x v_x \rangle = \langle x \partial_x \phi \rangle$$

$$\langle v_x v_y \rangle = \langle x \partial_y \phi \rangle \text{ etc}$$

$$\langle v^2 \rangle = \langle v_x^2 \rangle + \langle v_y^2 \rangle + \langle v_z^2 \rangle$$

$$= \langle \overline{r} \cdot \nabla \phi \rangle$$

- Many forms of Virial theorem, E.g.

$$= \langle r \frac{d\phi}{dr} \rangle$$

Scalar Virial Theorem

$$\Rightarrow 2K + W = 0$$

C9.0: Jeans theorem [BT4.4]

- For most stellar systems the DF depends on (x,v) through generally 1,2 or 3 integrals of motion (conserved quantities),
- $I_i(x,v), i=1..3 \rightarrow f(x,v) = f(I_1(x,v), I_2(x,v), I_3(x,v))$
- E.g., in **Spherical Equilibrium**, f is a function of energy $E(x,v)$ and ang. mom. vector $L(x,v)$'s amplitude and z-component

$$f(x,v) = f(E, \| \vec{L} \|, \vec{L} \cdot \hat{z})$$

C9.1: An anisotropic incompressible spherical fluid, e.g. $f(E,L) = \exp(-E/\sigma_0^2) L^{2\beta}$ [BT4.4.4]

- Verify $\langle V_r^2 \rangle = \sigma_0^2, \langle V_t^2 \rangle = 2(1-\beta) \sigma_0^2$
- Verify $\langle V_z \rangle = 0$
- Verify CBE is satisfied along the orbit or flow:

$$\frac{df(E,L)}{dt} = \frac{\partial f(E,L)}{\partial E} \frac{dE}{dt} + \frac{\partial f(E,L)}{\partial L} \frac{dL}{dt} = 0 + 0$$

0 for static potential, 0 for spherical potential

So $f(E,L)$ indeed constant along orbit or flow

C9.2: Apply JE & PE to measure Dark Matter [BT4.2.1d]

- A bright sub-component of observed density $n^*(r)$ and anisotropic velocity dispersions $\langle V_t^2 \rangle = 2(1-\beta)\langle V_r^2 \rangle$
- in spherical potential $\phi(r)$ from total (+dark) matter density $\rho(r)$

$$\text{JE: } -\frac{1}{n^*} \frac{d}{dr} \left(n^* \overline{v_r^2} \right) + \frac{\overline{v_t^2} - 2\overline{v_r^2}}{r} = \frac{d\Phi}{dr}$$

$$\text{PE: } \frac{G \int_0^r \rho(r') 4\pi r'^2 dr'}{r^2} = \frac{d\Phi}{dr}$$

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C9.3: Measure total Matter density $\rho(r)$

Assume anisotropic parameter beta, substitute JE for stars of density n^* into PE, get

$$-\frac{d}{r^2 dr} \left[\frac{r^2}{4\pi G} \left(\frac{2\beta \overline{v_r^2}}{r} + \frac{d(n^* \overline{v_r^2})}{n^* dr} \right) \right] = \rho(r)$$

- all quantities on the LHS are, in principle, determinable from observations.

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C9.4: Spherical Isotropic $f(E)$ Equilibriums [BT4.4.3]

- **ISOTROPIC $\beta=0$:** The distribution function $f(E)$ only depends on $|V|$ the modulus of the velocity, same in all velocity directions.

$$f(E), E = |\vec{v}|^2 / 2 + \phi(r)$$

$$\text{show } \sigma^2 = \sigma_x^2 = \sigma_y^2 = \sigma_z^2 = \sigma_r^2 = \frac{1}{2} \sigma_{\text{tangential}}^2$$

$$\langle \vec{v}_x \vec{v}_y \rangle = 0$$

Note: the tangential direction has θ and ϕ components

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C9.5: subcomponents

- **Non-SELF-GRAVITATING:** There are additional gravitating matter
- **The matter density that creates the potential is NOT equal to the density of stars.**
 - e.g., stars orbiting a black hole is non-self-gravitating.

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C9.6: subcomponents add up to the total gravitational mass

$$\langle A+B \rangle = \langle A \rangle + \langle B \rangle$$

Phase density of stars plus dark matter

$$f = f_1 + f_2$$

density of stars plus dark matter

$$\rho = \rho_1 + \rho_2$$

Total density $\rho \implies \Phi$ shared total potential

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What have we learned?

- Meaning of anisotropic pressure and dispersion.
- Usage of Jeans theorem [phase space]
- Usage of Jeans eq. (dark matter)
- Link among quantities in sphere.

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C10.0: Galactic disk mass density from vertical equilibrium

- Use JE and PE in cylindrical coordinates.
- drop terms $1/R$ or d/dR (d/dz terms dominates).
 - $|z| < 1\text{kpc} < R \sim 8\text{kpc}$ near Sun

$$\frac{\partial}{\partial z} \left(n \cdot v_z^2 \right) = - \frac{\partial \Phi}{\partial z} \quad 4\pi G \rho = \frac{\partial^2 \Phi}{\partial z^2}$$

- Combine vertical hydrostatic eq. and gravity eq. :
 - \Rightarrow total density in a column 1kpc high
 - $$= \int_{-1\text{kpc}}^{+1\text{kpc}} dz \rho = \left[\frac{2}{4\pi G n} \frac{\partial}{\partial z} \left(n \cdot v_z^2 \right) \right]_{z=-1\text{kpc}}^{z=1\text{kpc}}$$
- RHS are observables
- \Rightarrow JE/PE useful in weighing the galaxy disk.

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A toy galaxy

Learn to analyse

If you like challenge...

$\phi(R, z) \cong 0.5v_0^2 \ln(R^2 + 2z^2) - v_0^2(1 + (R^2 + z^2)/1\text{kpc}^2)^{-1/2}$,
 $v_0 = 100\text{km/s}$. Argue 1st & 2nd terms of above galaxy potential resemble dark halo and stars respectively. Calculate the circular velocity and dark halo density on equator $(R, z) = (1\text{kpc}, 0)$
 Estimate the total mass of stars and dark matter inside 10kpc. Estimate the star column density inside $|z| < 0.1\text{kpc}$, $R = 1\text{kpc}$.

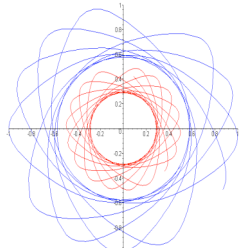
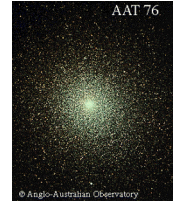
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Helpful Math/Approximations (To be shown at AS4021 exam)

- Convenient Units $1\text{km/s} = \frac{1\text{pc}}{1\text{Myr}} = \frac{1\text{kpc}}{1\text{Gyr}}$
- Gravitational Constant $G = 4 \times 10^{-3} \text{pc} (\text{km/s})^2 M_{\text{sun}}^{-1}$
 $G = 4 \times 10^{-6} \text{kpc} (\text{km/s})^2 M_{\text{sun}}^{-1}$
- Laplacian operator in various coordinates
 - $\nabla \cdot \nabla = \frac{\partial^2}{x^2} + \frac{\partial^2}{y^2} + \frac{\partial^2}{z^2}$ (rectangular)
 - $= R^{-1} \frac{\partial}{\partial R} (R \frac{\partial}{\partial R}) + \frac{\partial^2}{z^2} + R^{-2} \frac{\partial^2}{\phi^2}$ (cylindrical)
 - $= \frac{\partial}{r^2} (r^2 \frac{\partial}{\partial r}) + \frac{\partial}{r^2 \sin \theta} (\sin \theta \frac{\partial}{\partial \theta}) + \frac{\partial^2}{r^2 \sin^2 \theta}$ (spherical)
- Phase Space Density $f(x, v)$ relation with the mass in a small position cube and velocity cube
 $dM = f(x, v) dx^3 dv^3$

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Thinking of a globular cluster ...

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C10.1: Link phase space quantities

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C10.2: Link quantities in spheres

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